



## Secretariat

ST/SGB/1998/5  
ST/SGB/Staff Rules/2/Rev.8/Amend.2  
1 March 1998

## SECRETARY-GENERAL'S BULLETIN

## AMENDING THE 200 SERIES OF THE STAFF RULES

The Secretary-General, in accordance with staff rule 212.1 (a), promulgates the following:

1. Staff rules 200.1 to 212.7, applicable to project personnel specifically recruited for service with technical cooperation projects, published in Secretary-General's bulletin ST/SGB/Staff Rules/2/Rev.8 of 1 June 1995, and previously amended by Secretary-General's bulletin ST/SGB/Staff Rules/2/Rev.8/Amend.1 of 1 March 1996, are further amended as follows.
2. Rule 203.11, Mobility and hardship allowance, is amended to reflect General Assembly resolution 51/216, which introduced as from 1 January 1997 a five-year time limit on payment of the non-removal element of the allowance at one duty station, with a possible extension of up to two years on an exceptional basis.
3. Rule 203.15, Pensionable remuneration, is amended to correct the reference made to the Regulations of the United Nations Joint Staff Pension Fund.
4. Rule 205.3, Special leave, is amended to allow special leave without pay, including time for travel, when an immediate family member dies or in case of serious family emergency.
5. Rule 206.2, Group life insurance plan, is cancelled in order to ensure that project personnel serving under the 200 series of the Staff Rules participate in the group life insurance scheme of the United Nations on the same basis as staff serving under the 100 series. The cancellation of this rule is effective from 1 February 1998.
6. Rule 206.4, Medical care, is amended by the deletion of paragraph (c) of the prior text. This is to reflect the established practice under which project personnel are reimbursed for medical expenses in accordance with their medical insurance plan and, where applicable, appendix D to the 100 series of the Staff Rules.

7. Rule 206.5, Compensation for death, injury or illness attributable to service, is amended to correct the reference to appendix D to the 100 series of the Staff Rules.

8. Rule 206.7, Maternity leave, is amended to authorize partial conversion of maternity leave to paternity leave in dual career families where both spouses are employed by the United Nations, and to allow the use of accrued annual leave as paternity leave in such cases.

9. Rule 212.7, Effective date and authentic texts of rules, is amended to update the text of the Staff Rules in accordance with the present bulletin.

10. New pages 11-12, 23-26, 33-40, 71-76, and 79-82, containing the text of the amended rules, the amendments to staff regulation 3.3 (b) adopted by the General Assembly since the issuance of ST/SGB/Staff Rules/2/Rev.8/Amend.1, and the salary scales in effect as from 1 March 1998, are transmitted herewith for insertion in revision 8 of the 200 series of the Staff Rules.

(Signed) Kofi A. ANNAN  
Secretary-General

Regulation 3.3: (a) An assessment at the rates and under the conditions specified below shall be applied to the salaries and such other emoluments of staff members as are computed on the basis of salary, excluding post adjustments, provided that the Secretary-General may, where he deems it advisable, exempt from the assessment the salaries and emoluments of staff members engaged at locality rates;

- (b) (i) The assessment shall be calculated at the following rates for staff members whose salary rates are set forth in paragraphs 1 and 3 of annex I to the present Regulations:

Assessment

| Total assessable payments<br>(United States dollars) | Staff assessment rates for purposes of<br>pensionable remuneration and pensions<br>(percentage) |
|------------------------------------------------------|-------------------------------------------------------------------------------------------------|
| Up to 20 000 per year                                | 11                                                                                              |
| 20 001 to 40 000 per year                            | 18                                                                                              |
| 40 001 to 60 000 per year                            | 25                                                                                              |
| 60 001 and above per year                            | 30                                                                                              |

| Total assessable payments<br>(United States dollars) | Staff assessment rates used in conjunction<br>with gross base salaries<br>(percentage) |                                                                             |
|------------------------------------------------------|----------------------------------------------------------------------------------------|-----------------------------------------------------------------------------|
|                                                      | Staff member with a<br>dependent spouse or<br>a dependent child                        | Staff member with<br>neither a dependent<br>spouse nor a<br>dependent child |
| First 15 000 per year                                | 9.0                                                                                    | 11.8                                                                        |
| Next 5 000 per year                                  | 18.1                                                                                   | 24.5                                                                        |
| Next 5 000 per year                                  | 21.5                                                                                   | 27.0                                                                        |
| Next 5 000 per year                                  | 24.9                                                                                   | 31.5                                                                        |
| Next 5 000 per year                                  | 27.5                                                                                   | 33.4                                                                        |
| Next 10 000 per year                                 | 30.1                                                                                   | 35.7                                                                        |
| Next 10 000 per year                                 | 31.8                                                                                   | 38.2                                                                        |
| Next 10 000 per year                                 | 33.5                                                                                   | 38.8                                                                        |
| Next 10 000 per year                                 | 34.4                                                                                   | 39.8                                                                        |
| Next 15 000 per year                                 | 35.3                                                                                   | 40.8                                                                        |
| Next 20 000 per year                                 | 36.1                                                                                   | 44.2                                                                        |
| Remaining assessable payments                        | 37.0                                                                                   | 47.4                                                                        |

- (ii) The assessment shall be calculated at the following rates for staff members whose salary rates are established under paragraph 7 of annex I to the present Regulations:

| Total assessable payments<br>(United States dollars) | Assessment<br>(percentage) |
|------------------------------------------------------|----------------------------|
| Up to 20 000 per year                                | 19                         |
| 20 001 to 40 000 per year                            | 23                         |
| 40 001 to 60 000 per year                            | 26                         |
| 60 001 and above per year                            | 31                         |

- (iii) The Secretary-General shall determine which of the scales of assessment set out in subparagraphs (i) and (ii) above shall apply to each of the groups of personnel whose salary rates are established under paragraph 5 of annex I to the present Regulations;

- (iv) In the case of staff members whose salary scales are established in currencies other than United States dollars, the relevant amounts to which the assessment applies shall be fixed at the local currency equivalent of the above-mentioned dollar amounts at the time the salary scales of the staff member concerned are approved;

(c) In the case of a person who is not employed by the United Nations for the whole of a calendar year or in cases where there is a change in the annual rate of payments made to a staff member, the rate of assessment shall be governed by the annual rate of each such payment made to him or her;

(d) The assessment computed under the foregoing provisions of the present regulation shall be collected by the United Nations by withholding it from payments. No part of the assessment so collected shall be refunded because of cessation of employment during the calendar year;

(e) Revenue derived from staff assessment not otherwise disposed of by specific resolution of the General Assembly shall be credited to the Tax Equalization Fund established by General Assembly resolution 973 A (X);

(f) Where a staff member is subject both to staff assessment under this plan and to national income taxation in respect of the salaries and emoluments paid to him or her by the United Nations, the Secretary-General is authorized to refund to him or her the amount of staff assessment collected from him or her provided that:

- (i) The amount of such refund shall in no case exceed the amount of his or her income taxes paid and payable in respect of his or her United Nations income;

If an assignment for less than three years is extended to three years or more, the staff member shall be paid at that time a second one-month lump sum.

(e) If a change of official duty station or a new appointment involves a return to a place at which the individual was previously stationed, the full amount of the assignment grant shall not be payable unless the individual has been absent from the place for at least one year. In the case of a shorter absence, the amount payable shall normally be that proportion of the full grant which the completed months of absence bear to one year.

(f) Where both husband and wife are employed by the United Nations and travel at United Nations expense to a duty station, and taking into account rule 204.7, the assignment grant shall be paid to each in respect of himself or herself. If they have a dependent child or children, the assignment grant in respect of such child or children shall be paid to the project personnel on whom each child is recognized to be dependent.

(g) If both husband and wife would otherwise qualify for the lump sum portion of the grant, such lump sum shall only be paid to the spouse whose lump sum portion yields the higher amount.

(h) In cases where project personnel have not completed the period of service in respect of which the assignment grant has been paid, the grant shall be adjusted proportionately and recovery made under conditions established by the Secretary-General who, in exceptional circumstances, may decide to waive recovery.

(i) The Secretary-General may, in appropriate cases, authorize payment of all or part of the assignment grant where the United Nations has not been required to pay travel expenses upon the appointment of project personnel.

#### Rule 203.11

##### Assignment allowance

(Cancelled)

#### Rule 203.11

##### Mobility and hardship allowance

(a) For the purpose of this rule, "assignment" means the initial appointment of project personnel or any subsequent movement to a new duty station for a period of one year or more.

(b) As an incentive to mobility and to compensate for hardship and non-removal of personal effects and household goods, a non-pensionable allowance composed of three elements - mobility, hardship and non-removal of personal effects and household goods - shall be payable to project personnel upon arrival at the new duty station subject to the conditions set out in paragraphs (c), (d) and (e) below.

(c) The mobility element of the allowance shall be payable provided that:

- (i) The individual has served in the United Nations common system for a period of five consecutive years;
- (ii) The individual is assigned to a second or subsequent duty station. However, in the case of service at headquarters duty stations or other designated duty stations, three previous assignments will be required, of which at least two should have been at duty stations classified at hardship levels established by the Secretary-General;
- (iii) The assignment involves payment of the assignment grant.

The mobility element of the allowance shall become payable upon arrival at the new duty station and shall be discontinued or reduced under terms and conditions established by the Secretary-General.

(d) The hardship element of the allowance shall be payable to project personnel who are assigned to duty stations classified at hardship levels established by the Secretary-General. This element shall be payable for the duration of the individual's assignment according to the hardship classification of the duty station.

- (e) (i) Except as provided in subparagraph (ii) below, the non-removal element shall be payable to project personnel, provided that an entitlement to assignment grant exists. Payment of the non-removal element shall be limited to a period of five years at one duty station, with a possible extension of up to two years on an exceptional basis; [Amended as of 1 February 1998]
- (ii) Project personnel listed in rule 200.1 (a) who have an entitlement to removal costs under rule 107.27 shall not be entitled to the non-removal element.

(f) For project personnel at the L-4 and L-5 levels entitled to the dependency rate, the amount of the mobility and hardship allowance shall be determined by applying to the base salary at the dependency rate for the L-4 level, step VI, the percentages in a matrix established by the Secretary-General. For staff at the L-1 to L-3 levels entitled to the dependency rate, such amount shall be reduced by 13 per cent; for staff at the L-6 and L-7 levels entitled to the dependency rate, such amount shall be increased by 13 per cent. The amounts payable at the single rate shall be 75 per cent of the amounts payable at the dependency rate.

#### Rule 203.12

#### Extension of tour of duty

When project personnel are assigned to a duty station for tours of duty of less than one year and are paid a daily subsistence allowance under rule 203.9, and their assignments are subsequently extended so that their total anticipated service will be one year or more at that duty station, the Secretary-General shall decide whether to continue to pay, for the balance of the tour of duty, the daily subsistence allowance, or to pay assignment grant under rule 203.10, mobility and hardship allowance under rule 203.11 and the post adjustment under rule 203.5.

Rule 203.13

Deductions and contributions

- (a) There shall be deducted from the total payments due to project personnel:
- (i) Staff assessment, at the rates and subject to the conditions prescribed in staff regulation 3.3 and rule 203.4;
  - (ii) Contributions to the United Nations Joint Staff Pension Fund, if the individual is a participant under rule 206.1.
- (b) Deductions from salaries and other emoluments may also be made for:
- (i) Other contributions for which provision is made under these rules;
  - (ii) Indebtedness to the United Nations;
  - (iii) Indebtedness to third parties when any deduction for this purpose is authorized by the Secretary-General;
  - (iv) Lodging provided by the United Nations, by a Government or by a related institution.

Rule 203.14

Salary advances

- (a) Salary advances may be made to staff members under the following circumstances:
- (i) When they are initially appointed, in the amount of the salary due for their first month of service under rule 203.1 after deduction of staff assessment under rule 203.4;
  - (ii) When through no fault of their own, they do not receive their regular monthly payment, in the amount due;
  - (iii) Upon separation pending final settlement of pay accounts and subject to the advance not exceeding 80 per cent of the estimated final net payment due;
  - (iv) When they are assigned to a new duty station, in such amount as, in the opinion of the Secretary-General, is appropriate;
  - (v) Upon departure for extended official travel or for approved leave involving absence from duty for more than one month in the amount that would fall due for payment during the anticipated period of absence.

(b) Salary advances may be made to project personnel in appropriate amounts for other reasons when, in the opinion of the Secretary-General, there are exceptional and compelling reasons for making an advance. Requests for such advances shall be supported by a detailed justification in writing.

(c) Outstanding salary advances shall be recovered from project personnel in instalments determined by the Secretary-General in consecutive pay periods commencing not later than the period following that in which the advance is made.

#### Rule 203.15

##### Pensionable remuneration

(a) The pensionable remuneration of project personnel shall be in accordance with articles 1 (q) and 54 of the Regulations of the United Nations Joint Staff Pension Fund. [Amended as of 1 January 1997]

(b) (Cancelled)

(b) Except for allowable travel time, the period of such leave will be charged to annual leave.

(c) The country of home leave shall be the country of the project personnel's nationality, subject to the following terms, conditions and exceptions:

(i) The place of home leave of the individual within his or her home country shall be, for purposes of travel and transportation entitlements, the place with which he or she had the closest residential ties during the period of his or her most recent residence in his or her home country preceding appointment. In exceptional circumstances, a change in the place in the country of home leave may be authorized, under conditions established by the Secretary-General;

(ii) An individual who has served with another public international organization immediately preceding his or her appointment shall have the place of his or her home leave determined as though his or her entire previous service with the other international organization had been with the United Nations;

(iii) The Secretary-General, in exceptional and compelling circumstances, may authorize:

a. A country other than the country of nationality as the home country, for the purposes of this rule. An individual requesting such authorization will be required to satisfy the Secretary-General that he or she maintained his or her normal residence in such other country for a prolonged period preceding his or her appointment, that the individual continues to have close family or personal ties in that country and that his or her taking home leave there would not be inconsistent with the purposes and intent of staff regulation 5.3.

b. Travel in a particular home leave year to a country other than the home country, subject to conditions established by the Secretary-General. In such a case, the travel expenses borne by the United Nations shall not exceed the cost of travel to the home country.

(d) If both husband and wife are employed by the United Nations and are eligible for home leave, and taking into account rule 204.7, each individual shall have the choice either of exercising his or her own home leave entitlement or of accompanying the spouse. An individual who chooses to accompany his or her spouse shall be granted travel time appropriate to the travel involved. Dependent children whose parents are staff members, each of whom is entitled to home leave, may accompany either parent. The frequency of travel shall not exceed the established periodicity of the home leave both with regard to project personnel and to their dependent children, if any.

(e) Under the terms and conditions established by the Secretary-General, project personnel serving at designated duty stations having very difficult conditions of life and work shall be granted home leave once every twelve months.

Rule 205.3

Special leave

- (a) (i) Project personnel may be granted special leave in cases of extended illness, for child care or for other important reasons for such period as, in the opinion of the Secretary-General, is appropriate. In exceptional cases, the Secretary-General may, at his initiative, place an individual on special leave with full pay if he considers such leave to be in the interest of the Organization;
  - (ii) Special leave is normally without pay. In exceptional circumstances, special leave with full or partial pay may be granted;
  - (iii) In the case of adoption of a child, special leave with full pay may be granted under the conditions and for the period established by the Secretary-General. Special leave without pay may be granted to project personnel for a reasonable period, including necessary travel time, upon the death of a member of the immediate family of project personnel, or in case of serious family emergency; [Amended as of 1 February 1998]
  - (iv) Special leave shall not be authorized for governmental service in a political office, in a diplomatic or other representational post or for the purpose of performing any functions that are incompatible with the individual's continuing status as an international civil servant. In exceptional circumstances, special leave without pay may be granted to an individual who is requested by his or her Government to render temporary services involving functions of a technical nature.
- (b) Project personnel shall not accrue service credit towards any entitlement under these rules during full months of special leave with partial pay or without pay. Periods of less than one full month of such leave shall not affect the ordinary rates of accrual of such entitlements.
- (c) The continuity of service of project personnel shall not be considered broken by periods of special leave.

## Article VI

### SOCIAL SECURITY

Regulation 6.1: Provision shall be made for the participation of staff members in the United Nations Joint Staff Pension Fund in accordance with the regulations of that Fund.

Regulation 6.2: The Secretary-General shall establish a scheme of social security for the staff, including provisions for health protection, sick leave and maternity leave, and reasonable compensation in the event of illness, accident or death attributable to the performance of official duties on behalf of the United Nations.

## Chapter VI

### SOCIAL SECURITY

#### Rule 206.1

##### Participation in the Pension Fund

Project personnel whose appointments are for six months or longer, or who complete six months of service under shorter appointments without an interruption of more than thirty days, shall become participants in the United Nations Joint Staff Pension Fund, provided that participation is not excluded by their letters of appointment.

#### Rule 206.2

##### Group life insurance plan

(Cancelled as of 1 February 1998)

#### Rule 206.3

##### Sick leave

(a) Project personnel who are unable to perform their duties by reason of illness or injury, or whose attendance at work is prevented by public health requirements, may be granted sick leave in accordance with the following provisions:

- (i) Project personnel in short-term status may be granted sick leave on full salary at the rate of two days a month of service;
- (ii) Project personnel in intermediate-term status may be granted sick leave up to three months on full salary and up to three months on half salary in any period of twelve consecutive months, provided that the amount of sick leave permitted in any four consecutive years shall not exceed eighteen months, nine months on full salary and nine months on half salary;
- (iii) Project personnel in long-term status may be granted sick leave up to nine months on full salary and nine months on half salary in any period of four consecutive years;
- (iv) Project personnel shall submit a monthly report on any absences due to illness, injury or public health requirements. Where the absence is for three consecutive working days or longer, it shall be supported by a certificate from a qualified member of the medical profession or be treated as an unauthorized absence in accordance with rule 205.1 (d). The certificate shall indicate the nature of

the illness or injury and shall state that the individual was unable to perform his duties during the period. Where the absence was due to public-health requirements, the certificate shall indicate that public health restrictions prevented the individual's attendance at work;

- (v) Project personnel shall immediately notify a United Nations medical officer of any case of contagious disease occurring in his or her household or of any quarantine order affecting the household. In such a case or in the case of any other condition which may affect the health of others or which, in the opinion of the United Nations medical officer, impairs the individual's ability to fulfil his or her functions, the individual may be directed not to attend the office. He or she shall receive full salary and other emoluments for the period of authorized absence.

(b) Project personnel may be required at any time to submit a medical certificate as to their condition. Sick leave may be refused if the Secretary-General is satisfied that such leave is not justified; in such cases, the period shall be treated as an unauthorized absence in accordance with rule 205.1 (d).

(c) Project personnel, while on sick leave, shall not leave the area of the duty station without the prior approval of the Secretary-General.

(d) (Cancelled)

#### Rule 206.4

##### Medical care

(a) Project personnel shall participate in a medical insurance scheme provided by the United Nations unless exemption from such participation is expressly stated in the letter of appointment. The United Nations shall not be responsible for the medical care of project personnel who are exempted by their letter of appointment from the medical insurance scheme provided by the United Nations except in accordance with the provisions of staff rule 206.5 on compensation of death, injury or illness attributable to service.

(b) Project personnel appointed for a period of one month or more and participating in a medical insurance scheme provided by the United Nations may enrol their spouses and dependent children in the scheme.

(c) (Cancelled as of 1 January 1998)

(d) The United Nations will not assume responsibility for medical expenses incurred by project personnel following separation from service except in accordance with the provisions of staff rule 206.5 on compensation for death, injury or illness attributable to service.

Rule 206.5

Compensation for death, injury or illness  
attributable to service

Project personnel shall be entitled to compensation in the event of death, injury or illness attributable to the performance of official duties on behalf of the United Nations, in accordance with the rules set forth in appendix D to the Staff Rules (ST/SGB/Staff Rules/Appendix D/Rev.1 and Amend.1, January 1976). [Amended as of 1 January 1997]

Rule 206.6

Compensation for loss or damage to personal  
effects attributable to service

Project personnel shall be entitled, within the limits and under the terms and conditions established by the Secretary-General, to reasonable compensation in the event of loss or damage to their personal effects, determined to be directly attributable to the performance of official duties on behalf of the United Nations.

Rule 206.7

Maternity leave

(a) An individual shall be entitled to maternity leave in accordance with the following provisions:

- (i) The leave shall extend for a total period of sixteen weeks from the time it is granted, except as provided in subparagraph (iii) below.
- (ii) The leave shall commence six weeks prior to the anticipated date of birth upon production of a certificate from a duly qualified medical practitioner or midwife indicating the anticipated date of birth. However, at the individual's request and upon production of a certificate from a duly qualified medical practitioner or midwife indicating that she is fit to continue to work, the absence may be permitted to commence less than six weeks but normally not less than two weeks before the anticipated date of birth. The pre-delivery leave shall extend to the actual date of birth.
- (iii) The post-delivery leave shall extend for a period equivalent to the difference between sixteen weeks and the actual period of pre-delivery leave, subject to a minimum of ten weeks. However, the individual, on request, may be permitted to return to work after the lapse of a minimum period of six weeks following delivery.
- (iv) The individual shall receive maternity leave with full pay for the entire duration of her absence under subparagraphs (ii) and (iii) above.

(b) Where both husband and wife are employed by the United Nations, an unused portion of maternity leave to which the mother would otherwise have been entitled under paragraph (a) above or under staff rule 106.3 (a) may be used as paternity leave by the father of the child, under conditions established by the Secretary-General. Alternatively, paternity leave may be charged to the father's annual leave entitlement. [Amended as of 1 January 1998]

(c) Sick leave shall not normally be granted for maternity cases except where serious complications arise.

(d) (Cancelled)

(e) Annual leave shall accrue during the period of maternity leave, provided that the individual returns to service for at least six months after the completion of maternity leave.

Article VII

TRAVEL AND REMOVAL EXPENSES

Regulation 7.1: Subject to conditions and definitions prescribed by the Secretary-General, the United Nations shall in appropriate cases pay the travel expenses of staff members, their spouses and dependent children.

Regulation 7.2: Subject to conditions and definitions prescribed by the Secretary-General, the United Nations shall pay removal costs for staff members.

## Chapter XII

### GENERAL PROVISIONS

#### Rule 212.1

##### Amendment of, and exceptions to, Staff Rules

(a) Subject to staff regulations 12.1, 12.2, 12.3, 12.4 and 12.5, the present rules may be amended by the Secretary-General in a manner consistent with the Staff Regulations.

(b) Exceptions to the present rules may be made by the Secretary-General provided that such exception is not inconsistent with any staff regulation, and provided further that it is agreed to by the individual directly affected and is, in the opinion of the Secretary-General, not prejudicial to the interests of other project personnel.

#### Rule 212.2

##### Financial responsibility

Project personnel may be required to reimburse the United Nations either partially or in full for any financial loss suffered by the United Nations as a result of their negligence or of their having violated any regulation, rule or administrative instruction.

#### Rule 212.3

##### Liability insurance

Project personnel who own or drive automobiles shall carry public liability and property damage insurance in an amount adequate to insure them against claims arising from injury or death to other persons or damage to the property of others, caused by their automobiles.

#### Rule 212.4

##### Beneficiaries

(a) At the time of appointment, project personnel shall nominate a beneficiary or beneficiaries in writing in a form prescribed by the Secretary-General. It shall be the responsibility of project personnel to notify the Secretary-General of any revocations or changes of beneficiaries.

(b) In the event of the death of the individual, all amounts standing to his or her credit will be paid to his or her nominated beneficiary or beneficiaries subject to application of the present rules. Such payment shall afford the United Nations a complete release from all further liability in respect to any sum so paid.

(c) If a nominated beneficiary does not survive or if a designation of beneficiary has not been made or has been revoked, the amount standing to the credit of the individual shall upon his or her death be paid to his or her estate.

#### Rule 212.5

##### Retroactivity of payment

Project personnel who may not have been receiving any allowances, grants or other payments due under the present rules shall not be entitled to receive such allowances, grants or other payments retroactively, unless a written claim has been submitted within one year of the date when the initial payment would otherwise have been due.

#### Rule 212.6

##### Proprietary rights

All rights, including title, copyright and patent rights, in any work performed by project personnel as part of their official duties shall be vested in the United Nations.

#### Rule 212.7

##### Effective date and authentic texts of rules

Except as otherwise indicated and subject always to the provisions of regulations 12.1, 12.2, 12.3, 12.4 and 12.5, rules 200.1 to 212.7 are effective as of 1 January 1995 except where otherwise indicated. The English and French texts of these rules are equally authoritative. [Amended as of 1 March 1998]

## ANNEXES TO THE STAFF REGULATIONS

### Annex I

#### SALARY SCALES AND RELATED PROVISIONS

1. The Secretary-General shall establish the salary of the Administrator of the United Nations Development Programme and the salaries of United Nations officials in the Director category and above, in accordance with amounts determined by the General Assembly, subject to the staff assessment plan provided in staff regulation 3.3 and to post adjustments wherever applied. If otherwise eligible, they shall receive the allowances that are available to staff members generally. With effect from 1 January 1998, the Administrator of the United Nations Development Programme shall receive a gross salary of US\$ 175,344 per annum.
2. The Secretary-General is authorized, on the basis of appropriate justification and/or reporting, to make additional payments to United Nations officials in the Director category and above to compensate for such special costs as may be reasonably incurred, in the interests of the Organization, in the performance of duties assigned to them by the Secretary-General. Similar additional payments in similar circumstances may be made to heads of offices away from Headquarters. The maximum total amount of such payments is to be determined in the programme budget by the General Assembly.
3. Except as provided in paragraph 5 of the present annex, the salary scales and the scales of post adjustment for staff members in the Professional and higher categories shall be as shown in the present annex.
4. Subject to satisfactory service, salary increments within the levels set forth in paragraph 3 of the present annex shall be awarded annually, except that any increments above step XI of the Associate Officer level, step XIII of the Second Officer level, step XII of the First Officer level, step X of the Senior Officer level and step IV of the Principal Officer level shall be preceded by two years at the previous step. The Secretary-General is authorized to reduce the interval between salary increments to ten months and twenty months, respectively, in the case of staff subject to geographical distribution who have an adequate and confirmed knowledge of a second official language of the United Nations.
5. The Secretary-General shall determine the salary rates to be paid to personnel specifically engaged for short-term missions, conference and other short-term service, to consultants, to Field Service personnel and to technical assistance experts.
6. The Secretary-General shall fix the salary scales for staff members in the General Service and related categories, normally on the basis of the best prevailing conditions of employment in the locality of the United Nations Office concerned, provided that the Secretary-General may, where he deems it appropriate, establish rules and salary limits for payment of a non-resident allowance to General Service staff members recruited from outside the local area. The gross pensionable salaries of such staff shall be determined in accordance with the methodology specified in article 54 of the Regulations of the United Nations Joint Staff Pension Fund and are shown in the salary scales applicable to such staff.

7. The Secretary-General shall establish rules under which a language allowance may be paid to staff members in the General Service category who pass an appropriate test and demonstrate continued proficiency in the use of two or more official languages.

8. In order to preserve equivalent standards of living at different offices, the Secretary-General may adjust the basic salaries set forth in paragraphs 1 and 3 of the present annex by the application of non-pensionable post adjustments based on relative costs of living, standards of living and related factors at the office concerned as compared to New York. Such post adjustments shall not be subject to staff assessment.

9. No salary shall be paid to staff members in respect of periods of unauthorized absence from work unless such absence was caused by reasons beyond their control or duly certified medical reasons.

## ANNEX 1

Salary scale for the Professional category and above, showing annual gross salaries and net equivalents after application of staff assessment  
(United States dollars)  
Effective 1 March 1998

| Level                              | S T E P S |         |         |         |         |         |         |         |         |         |         |         |         |         |         |
|------------------------------------|-----------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|
|                                    | I         | II      | III     | IV      | V       | VI      | VII     | VIII    | IX      | X       | XI      | XII     | XIII    | XIV     | XV      |
| <b>Under-Secretary-General</b>     |           |         |         |         |         |         |         |         |         |         |         |         |         |         |         |
| Gross                              | 147 420   | 112 164 | 114 591 | 117 016 | 119 442 | 121 869 | .       | .       | .       | .       | .       | .       | .       | .       | .       |
| Net D                              | 102 130   | 79 919  | 81 447  | 82 975  | 84 504  | 86 032  | .       | .       | .       | .       | .       | .       | .       | .       | .       |
| Net S                              | 91 883    | 73 338  | 74 615  | 75 890  | 77 167  | 78 443  | .       | .       | .       | .       | .       | .       | .       | .       | .       |
| <b>Assistant Secretary-General</b> |           |         |         |         |         |         |         |         |         |         |         |         |         |         |         |
| Gross                              | 133 994   | 99 168  | 101 216 | 103 261 | 105 310 | 107 358 | .       | .       | .       | .       | .       | .       | .       | .       | .       |
| Net D                              | 93 871    | 71 633  | 72 942  | 74 249  | 75 558  | 76 867  | .       | .       | .       | .       | .       | .       | .       | .       | .       |
| Net S                              | 84 821    | 66 012  | 66 156  | 68 440  | 69 583  | 70 726  | .       | .       | .       | .       | .       | .       | .       | .       | .       |
| <b>Director</b>                    |           |         |         |         |         |         |         |         |         |         |         |         |         |         |         |
| D-2 Gross                          | 109 741   | 112 164 | 114 591 | 117 016 | 119 442 | 121 869 | .       | .       | .       | .       | .       | .       | .       | .       | .       |
| Net D                              | 78 390    | 79 919  | 81 447  | 82 975  | 84 504  | 86 032  | .       | .       | .       | .       | .       | .       | .       | .       | .       |
| Net S                              | 72 056    | 73 338  | 74 615  | 75 890  | 77 167  | 78 443  | .       | .       | .       | .       | .       | .       | .       | .       | .       |
| <b>Principal Officer</b>           |           |         |         |         |         |         |         |         |         |         |         |         |         |         |         |
| D-1 Gross                          | 97 119    | 99 168  | 101 216 | 103 261 | 105 310 | 107 358 | 109 407 | 111 476 | 113 552 | 115 628 | 117 704 | 119 780 | 121 856 | 123 932 | 126 008 |
| Net D                              | 70 324    | 71 633  | 72 942  | 74 249  | 75 558  | 76 867  | 78 176  | 79 485  | 80 793  | 82 102  | 83 411  | 84 720  | 86 029  | 87 338  | 88 647  |
| Net S                              | 65 012    | 66 156  | 67 299  | 68 440  | 69 583  | 70 726  | 71 869  | 73 012  | 74 155  | 75 298  | 76 441  | 77 584  | 78 727  | 79 870  | 81 013  |
| <b>Senior Officer</b>              |           |         |         |         |         |         |         |         |         |         |         |         |         |         |         |
| P-5 Gross                          | 85 685    | 87 516  | 89 347  | 91 178  | 93 009  | 94 840  | 96 671  | 98 502  | 100 333 | 102 164 | 104 016 | 105 868 | 107 720 | 109 572 | 111 424 |
| Net D                              | 62 983    | 64 168  | 65 352  | 66 537  | 67 721  | 68 905  | 70 089  | 71 274  | 72 457  | 73 641  | 74 826  | 76 011  | 77 195  | 78 380  | 79 564  |
| Net S                              | 58 486    | 59 570  | 60 653  | 61 736  | 62 820  | 63 903  | 64 987  | 66 070  | 67 154  | 68 237  | 69 321  | 70 404  | 71 488  | 72 571  | 73 655  |
| <b>First Officer</b>               |           |         |         |         |         |         |         |         |         |         |         |         |         |         |         |
| P-4 Gross                          | 70 619    | 72 382  | 74 145  | 75 908  | 77 671  | 79 434  | 81 197  | 82 960  | 84 723  | 86 486  | 88 249  | 90 012  | 91 775  | 93 538  | 95 301  |
| Net D                              | 53 196    | 54 353  | 55 509  | 56 666  | 57 823  | 58 979  | 60 136  | 61 293  | 62 450  | 63 607  | 64 764  | 65 921  | 67 078  | 68 235  | 69 392  |
| Net S                              | 49 523    | 50 584  | 51 645  | 52 706  | 53 767  | 54 828  | 55 889  | 56 950  | 58 011  | 59 072  | 60 133  | 61 194  | 62 255  | 63 316  | 64 377  |
| <b>Second Officer</b>              |           |         |         |         |         |         |         |         |         |         |         |         |         |         |         |
| P-3 Gross                          | 57 720    | 59 351  | 60 982  | 62 613  | 64 244  | 65 875  | 67 506  | 69 137  | 70 768  | 72 399  | 74 030  | 75 661  | 77 292  | 78 923  | 80 554  |
| Net D                              | 44 689    | 45 754  | 46 839  | 47 923  | 49 008  | 50 093  | 51 178  | 52 263  | 53 348  | 54 433  | 55 518  | 56 603  | 57 687  | 58 772  | 59 857  |
| Net S                              | 41 685    | 42 693  | 43 699  | 44 706  | 45 713  | 46 720  | 47 727  | 48 734  | 49 741  | 50 748  | 51 755  | 52 762  | 53 769  | 54 776  | 55 783  |
| <b>Associate Officer</b>           |           |         |         |         |         |         |         |         |         |         |         |         |         |         |         |
| P-2 Gross                          | 46 458    | 47 883  | 49 308  | 50 733  | 52 158  | 53 583  | 55 008  | 56 433  | 57 858  | 59 283  | 60 708  | 62 133  | 63 558  | 64 983  | 66 408  |
| Net D                              | 37 035    | 38 006  | 38 978  | 39 949  | 40 920  | 41 891  | 42 862  | 43 833  | 44 804  | 45 775  | 46 746  | 47 717  | 48 688  | 49 659  | 50 630  |
| Net S                              | 34 741    | 35 622  | 36 503  | 37 384  | 38 265  | 39 146  | 40 027  | 40 908  | 41 789  | 42 670  | 43 551  | 44 432  | 45 313  | 46 194  | 47 075  |
| <b>Assistant Officer</b>           |           |         |         |         |         |         |         |         |         |         |         |         |         |         |         |
| P-1 Gross                          | 35 382    | 36 718  | 38 054  | 39 390  | 40 726  | 42 062  | 43 398  | 44 734  | 46 070  | 47 406  | 48 742  | 50 078  | 51 414  | 52 750  | 54 086  |
| Net D                              | 29 317    | 30 251  | 31 185  | 32 119  | 33 053  | 33 987  | 34 921  | 35 855  | 36 789  | 37 723  | 38 657  | 39 591  | 40 525  | 41 459  | 42 393  |
| Net S                              | 27 655    | 28 515  | 29 375  | 30 235  | 31 095  | 31 955  | 32 815  | 33 675  | 34 535  | 35 395  | 36 255  | 37 115  | 37 975  | 38 835  | 39 695  |

D = Rate applicable to staff members with a dependent spouse or child.

S = Rate applicable to staff members with no dependent spouse or child.

\* = The normal qualifying period for in-grade movement between consecutive steps is one year, except at those steps marked with an asterisk, for which a two-year period at the preceding step is required.

Annex II

LETTERS OF APPOINTMENT

- (a) The letter of appointment shall state:
  - (i) That the appointment is subject to the provisions of the Staff Regulations and of the Staff Rules applicable to the category of appointment in question and to changes that may be duly made in such regulations and rules from time to time;
  - (ii) The nature of the appointment;
  - (iii) The date at which the staff member is required to enter upon his or her duties;
  - (iv) The period of appointment, the notice required to terminate it and period of probation, if any;
  - (v) The category, level, commencing rate of salary and, if increments are allowable, the scale of increments and the maximum attainable;
  - (vi) Any special conditions that may be applicable.

(b) A copy of the Staff Regulations and of the Staff Rules shall be transmitted to the staff member with the letter of appointment. In accepting appointment the staff member shall state that he or she has been acquainted with and accepts the conditions laid down in the Staff Regulations and in the Staff Rules;

(c) The letter of appointment of a staff member on secondment from government service signed by the staff member and by or on behalf of the Secretary-General, and relevant supporting documentation of the terms and conditions of secondment agreed to by the Member State and the staff member, shall be evidence of the existence and validity of secondment from government service to the Organization for the period stated in the letter of appointment.

# Annex IV

## REPATRIATION GRANT

In principle, the repatriation grant shall be payable to staff members whom the Organization is obligated to repatriate and who at the time of separation are residing, by virtue of their service with the United Nations, outside their country of nationality. The repatriation grant shall not, however, be paid to a staff member who is summarily dismissed. Eligible staff members shall be entitled to a repatriation grant only upon relocation outside the country of the duty station. Detailed conditions and definitions relating to eligibility and requisite evidence of relocation shall be determined by the Secretary-General.

| Years of continuous<br>service away from home<br>country                               | Staff member with<br>a spouse or<br>dependent child at<br>time of separation | Staff member with neither a<br>spouse nor dependent child at<br>time of separation |                                |
|----------------------------------------------------------------------------------------|------------------------------------------------------------------------------|------------------------------------------------------------------------------------|--------------------------------|
|                                                                                        |                                                                              | Professional<br>and higher<br>categories                                           | General<br>Service<br>category |
| Weeks of gross salary, less staff assessment,<br>where applicable <u>a/</u> or         |                                                                              |                                                                                    |                                |
| Weeks of pensionable remuneration less staff<br>assessment, where applicable <u>b/</u> |                                                                              |                                                                                    |                                |
| 1 .....                                                                                | 4                                                                            | 3                                                                                  | 2                              |
| 2 .....                                                                                | 8                                                                            | 5                                                                                  | 4                              |
| 3 .....                                                                                | 10                                                                           | 6                                                                                  | 5                              |
| 4 .....                                                                                | 12                                                                           | 7                                                                                  | 6                              |
| 5 .....                                                                                | 14                                                                           | 8                                                                                  | 7                              |
| 6 .....                                                                                | 16                                                                           | 9                                                                                  | 8                              |
| 7 .....                                                                                | 18                                                                           | 10                                                                                 | 9                              |
| 8 .....                                                                                | 20                                                                           | 11                                                                                 | 10                             |
| 9 .....                                                                                | 22                                                                           | 13                                                                                 | 11                             |
| 10 .....                                                                               | 24                                                                           | 14                                                                                 | 12                             |
| 11 .....                                                                               | 26                                                                           | 15                                                                                 | 13                             |
| 12 or more .....                                                                       | 28                                                                           | 16                                                                                 | 14                             |

a/ For staff in the Professional and higher categories and in the Field Service category.

b/ For staff in the General Service and related categories.

# APPENDICES TO THE STAFF RULES

Appendix I (rule 203.1)

## SALARY SCALES <sup>a/</sup>

showing annual gross salaries and net equivalents after application of staff assessment

(United States dollars)

Effective 1 March 1998

| Level | S T E P S |         |         |         |         |         |         |         |         |         |         |         |         |         |        |
|-------|-----------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|--------|
|       | I         | II      | III     | IV      | V       | VI      | VII     | VIII    | IX      | X       | XI      | XII     | XIII    | XIV     | XV     |
| L-7   | Gross     | 109 741 | 112 164 | 114 591 | 117 016 | 119 442 | 121 869 |         |         |         |         |         |         |         |        |
|       | Net D     | 78 390  | 79 919  | 81 447  | 82 975  | 84 504  | 86 032  |         |         |         |         |         |         |         |        |
|       | Net S     | 72 056  | 73 338  | 74 615  | 75 890  | 77 167  | 78 443  |         |         |         |         |         |         |         |        |
| L-6   | Gross     | 97 119  | 99 168  | 101 216 | 103 261 | 105 310 | 107 358 | 109 407 | 111 476 | 113 552 |         |         |         |         |        |
|       | Net D     | 70 324  | 71 633  | 72 942  | 74 249  | 75 558  | 76 867  | 78 176  | 79 485  | 80 793  |         |         |         |         |        |
|       | Net S     | 65 012  | 66 156  | 67 299  | 68 440  | 69 583  | 70 726  | 71 869  | 72 976  | 74 068  |         |         |         |         |        |
| L-5   | Gross     | 85 685  | 87 516  | 89 347  | 91 192  | 93 046  | 94 898  | 96 751  | 98 605  | 100 457 | 102 310 | 104 164 | 106 016 | 107 869 |        |
|       | Net D     | 62 983  | 64 168  | 65 352  | 66 537  | 67 721  | 68 905  | 70 089  | 71 274  | 72 457  | 73 641  | 74 826  | 76 009  | 77 194  |        |
|       | Net S     | 58 486  | 59 570  | 60 653  | 61 705  | 62 740  | 63 773  | 64 807  | 65 842  | 66 875  | 67 908  | 68 944  | 69 977  | 71 011  |        |
| L-4   | Gross     | 70 619  | 72 382  | 74 141  | 75 913  | 77 700  | 79 483  | 81 269  | 83 054  | 84 839  | 86 623  | 88 406  | 90 197  | 92 003  | 93 811 |
|       | Net D     | 53 196  | 54 353  | 55 507  | 56 660  | 57 817  | 58 971  | 60 126  | 61 281  | 62 436  | 63 590  | 64 744  | 65 901  | 67 055  | 68 210 |
|       | Net S     | 49 523  | 50 584  | 51 643  | 52 700  | 53 758  | 54 814  | 55 871  | 56 928  | 57 985  | 59 041  | 60 096  | 61 150  | 62 158  | 63 166 |
| L-3   | Gross     | 57 720  | 59 351  | 60 984  | 62 613  | 64 246  | 65 889  | 67 542  | 69 197  | 70 851  | 72 506  | 74 159  | 75 824  | 77 500  | 79 176 |
|       | Net D     | 44 689  | 45 754  | 46 839  | 47 923  | 49 008  | 50 093  | 51 178  | 52 263  | 53 348  | 54 434  | 55 518  | 56 603  | 57 687  | 58 772 |
|       | Net S     | 41 685  | 42 683  | 43 682  | 44 679  | 45 678  | 46 675  | 47 670  | 48 667  | 49 662  | 50 658  | 51 654  | 52 648  | 53 640  | 54 632 |
| L-2   | Gross     | 46 458  | 47 883  | 49 305  | 50 728  | 52 149  | 53 572  | 54 996  | 56 453  | 57 915  | 59 372  | 60 830  | 62 291  |         |        |
|       | Net D     | 37 035  | 38 006  | 38 976  | 39 946  | 40 916  | 41 886  | 42 857  | 43 826  | 44 798  | 45 768  | 46 737  | 47 709  |         |        |
|       | Net S     | 34 741  | 35 622  | 36 500  | 37 380  | 38 258  | 39 138  | 40 017  | 40 909  | 41 804  | 42 696  | 43 588  | 44 482  |         |        |
| L-1   | Gross     | 35 382  | 36 716  | 38 051  | 39 386  | 40 719  | 42 052  | 43 388  | 44 722  | 46 061  | 47 449  |         |         |         |        |
|       | Net D     | 29 317  | 30 251  | 31 183  | 32 116  | 33 048  | 33 979  | 34 914  | 35 845  | 36 777  | 37 710  |         |         |         |        |
|       | Net S     | 27 655  | 28 515  | 29 372  | 30 230  | 31 087  | 31 944  | 32 804  | 33 661  | 34 508  | 35 353  |         |         |         |        |

D = Rate applicable to staff members with a dependent spouse or child.

S = Rate applicable to staff members with no dependent spouse or child.

<sup>a/</sup> The scale will be implemented in conjunction with a consolidation of 3.1 per cent of post adjustment. There will be consequential adjustments in the post adjustment indices and multipliers at all duty stations, effective 1 March 1998. Thereafter, changes in post adjustment classifications will be effected on the basis of the movements of the consolidated post adjustment indices.

Pensionable remuneration for purposes of pension benefits and pension contributions

(United States dollars)

Effective 1 November 1997

| Level | S T E P S |         |         |         |         |         |         |         |         |         |         |         |         |         |         |
|-------|-----------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|
|       | I         | II      | III     | IV      | V       | VI      | VII     | VIII    | IX      | X       | XI      | XII     | XIII    | XIV     | XV      |
| L-7   | 137 029   | 140 143 | 143 257 | 146 368 | 149 482 | 152 596 |         |         |         |         |         |         |         |         |         |
| L-6   | 121 365   | 123 854 | 126 342 | 128 826 | 131 315 | 133 827 | 136 593 | 139 260 | 141 923 |         |         |         |         |         |         |
| L-5   | 107 410   | 109 662 | 111 913 | 114 165 | 116 417 | 118 666 | 120 918 | 123 170 | 125 419 | 127 671 | 129 923 | 132 180 | 134 593 |         |         |
| L-4   | 88 804    | 91 002  | 93 195  | 95 389  | 97 587  | 99 781  | 101 977 | 104 173 | 106 368 | 108 562 | 110 756 | 112 957 | 115 150 | 117 345 | 119 542 |
| L-3   | 73 911    | 75 798  | 77 685  | 79 569  | 81 457  | 83 343  | 85 228  | 87 117  | 89 092  | 91 156  | 93 218  | 95 280  | 97 342  | 99 403  | 101 468 |
| L-2   | 60 636    | 62 326  | 64 012  | 65 700  | 67 386  | 69 074  | 70 761  | 72 446  | 74 136  | 75 822  | 77 508  | 79 197  |         |         |         |
| L-1   | 47 217    | 48 842  | 50 462  | 52 084  | 53 705  | 55 325  | 56 949  | 58 569  | 60 190  | 61 812  |         |         |         |         |         |